

#### Point processes and model sets

#### Workshop Aperiodic order and approximate groups

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## **Motivation**

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#### Sphere packing

A sphere packing is a set of disjoint open balls of equal radius.

#### **Classical density**

The classical density of a sphere packing S is given by

$$D(S,x) := \lim_{R \to \infty} \frac{\operatorname{vol}(B(x,R) \cap \bigcup S)}{\operatorname{vol}(B(x,R))}$$



- Böröczky: Classical density very degenerate in  $\mathbb{H}^n$
- Bowen: Study "random sphere packings" to filter out Böröczkys degenerate examples.
- Bowen: For only countably many radii periodic sphere packings in  $\mathbb{H}^n$  are optimally dense.

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## Setting

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# Setting

- G lcsc group.
- $K \leq G$  compact.
- G/K has G-invariant metric d.
- Nice pointwise ergodic theorem for *G*:

$$rac{1}{m_G(G_t)}\int_{G_t}f(g^{-1}.x)dm_G(g)
ightarrow\int_X fd\mu,\quad orall f\in C_c(X)$$

holds for all x in G-invariant set of full measure.



#### **Examples**

•  $\mathbb{R}^n$ :  $G = \mathbb{R}^n$ ,  $K = \{e\}$ .

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- $\mathbb{R}^n$ :  $G = \operatorname{Iso}(\mathbb{R}^n)$ , K = O(n).
- $\mathbb{H}^2$ :  $G = SL_2(\mathbb{R}), K = SO(2).$
- $\mathbb{H}^n$ : G = SO(n, 1), K = O(n).

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#### **Point processes**

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#### **Example: Lattices**

 $\Gamma \leq \textit{G}$  lattice (discrete and cofinite subgroup).  $\Omega_{\Gamma} := \textit{G}/\Gamma$ 

$$\xi:(\Omega_{\Gamma},\mathbb{P})
ightarrow \mathsf{Cl}({\it G}),\quad {\it g}\Gamma\mapsto {\it g}\Gamma$$

 $\rightsquigarrow$  "random" translate of lattice  $\Gamma$ .





#### **Example: Model sets**

#### Model sets

H lcsc group.

- $\Gamma < G \times H$  a lattice projecting densely to *H* and injectively to *G*.
- $W \subset H$  compact.

Then the set  $\pi_G(G \times W \cap \Gamma) \subset G$  is called a *model set*.





#### **Example: Model sets**





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#### **Example: Model sets**

Λ model set.

$$\Omega_\Lambda := \overline{G.\Lambda} \setminus \{ \emptyset \}$$

W nice  $\implies$  exists unique *G*-inv. probability measure  $\mathbb{P}$  on  $\Omega_{\Lambda}$ .

$$\xi: (\Omega_{\Lambda}, \mathbb{P}) \to \mathsf{Cl}(G), \quad \Lambda' \mapsto \Lambda'$$

↔ "random" uniformly discrete point set.

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## **Example: Approximate lattices**



## Approximate groups $\Lambda \subset G$ such that • $e \in \Lambda$ **(3)** there is a finite set *F* such that $\Lambda^2 \subset F\Lambda$

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### **Example: Approximate lattices**

#### Strong approximate lattices

 $\Lambda \subset G$  uniformly discrete approximate group s.t.  $\exists$  nontrivial *G*-invariant measure  $\mathbb{P}$  on  $\Omega_{\Lambda} := \overline{G.\Lambda} \setminus \{\emptyset\}$ .

 $\rightsquigarrow \xi : (\Omega_{\Lambda}, \mathbb{P}) \rightarrow \mathsf{Cl}(G), \Lambda' \rightarrow \Lambda'$  "random" point set in *G*.

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#### **Point processes**

#### Point processes

 $(\Omega, \mathbb{P})$  probability space.

 $\xi:(\Omega,\mathbb{P})\to {\sf Cl}({\it G}/{\it K})$ 

#### s.t.

- $\xi(\omega) \subset G/K$  countable for almost all  $\omega \in \Omega$
- $\xi$  measurable.
- $\mu_{\xi} \coloneqq \xi_* \mathbb{P}$  is called distribution of  $\xi$ .
  - $\xi$  uniformly discrete/FLC/... : $\Leftrightarrow \xi(\omega)$  is uniformly discrete/FLC/... for almost all  $\omega$
  - Call  $\xi$  ergodic if  $\mu_{\xi}$  is *G*-ergodic.

#### In all of the previous examples $K = \{e\}$ .

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#### **Associated objects**

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## Hof autocorrelation

 $\boldsymbol{\xi}$  uniformly discrete ergodic point process.

#### Hof autocorrelation

Fix a "generic" point  $\Lambda$  of  $\xi$ .

$$\eta_{\xi}(f) := \lim_{R \to \infty} \frac{1}{\operatorname{vol}(B(x_0, R))} \sum_{x \in \Lambda \cap B(x_0, R)} \sum_{y \in \Lambda} f(x^{-1}y), \quad \forall f \in C_c(K \setminus G/K).$$

In many cases this gives a well-defined measure on G/K only depending on  $\xi$ .





#### Autocorrelation measure

The Hof autocorrelation is conceptually easy, but there is a measure that is easier to work with.

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#### Periodization

 $\xi$  ergodic uniformly discrete point process,  $\Lambda$  generic point of  $\xi$ .

 $\implies \Omega_{\Lambda} := \overline{G.\Lambda} \setminus \{\emptyset\}$  has full measure wrt.  $\mu_{\xi}$ .

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#### **Periodization**

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$$\mathcal{P}_{\xi}: \mathcal{C}_{c}(G/\mathcal{K}) 
ightarrow \mathcal{C}_{0}(\Omega_{\Lambda}), f \mapsto (\Lambda' \mapsto \sum_{x \in \Lambda'} f(x)).$$

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#### Autocorrelation of point processes

$$\eta_{\xi}(f^**g) = \int_{\Omega_{\Lambda}} \overline{\mathcal{P}_{\xi}(f)} \mathcal{P}_{\xi}(g) d\mu_{\xi} = \mathbb{E}[\overline{\mathcal{P}_{\xi}(f)} \mathcal{P}_{\xi}(g)], \hspace{1em} orall f, g \in \mathcal{C}_{c}(G/\mathcal{K})$$

## Hof's autocorrelation measure and the autocorrelation



If G has a "nice" ergodic theorem, then the autocorrelation measure and Hof's autocorrelation are equal.

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## The intensity

$$\int \mathcal{P}_{\xi}(f) d\mu_{\xi} = i(\xi) \int f dm_{G/K}, \quad \forall f \in C_{c}(G/K)$$

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### Example

- $\xi$  constructed from lattice:  $i(\xi) = \frac{1}{|\Gamma|}$
- $\xi$  constructed from model set:  $i(\xi) = \frac{m_H(W)}{|\Gamma|}$

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#### Diffraction

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## Diffraction

From now on:  $C_c(K \setminus G/K)$  commutative.

Diffraction/Spherical diffraction

The *diffraction* of the point process  $\xi$  is the Fourier transform  $\hat{\eta}_{\xi}$  of the autocorrelation measure.

Appropriate notion of Fourier transform: spherical transform.

Defining property:

$$\eta_{\xi}(f^**f) = \widehat{\eta_{\xi}}(\widehat{f^**f}), \quad \forall f \in C_c(K \setminus G/K).$$



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#### **Properties of diffraction**

•  $\eta_{\xi}$  is positive measure.

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•  $\eta_{\xi}(\{\omega_0\}) = i(\xi)^2$ , where  $\omega_0$  denotes the "trivial spherical function".

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•  $\eta_{\xi}(f^* * f) = \widehat{\eta_{\xi}(f^* * f)}$  for all  $f \in C_c(K \setminus G/K)$ .

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#### Classical density is not well-behaved.



- Classical density is not easy to work with, even in euclidean space there are issues with oscillation.
- Existence of packings that maximize classical density was show by Groemer in 1961.
- In hyperbolic space exponential volume growth leads to dominating boundary terms and dependence on the point *x* that do not appear in the euclidean case.
- Examples by Böröczky show that the notion of density in the classical sense is very degenerate in hyperbolic space.



We can think of *r*-uniformly discrete sets as sphere packings by spheres of radius *r*.  $\rightsquigarrow$  Think of *r*-uniformly discrete point processes as random sphere packings.

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Bowen and Radin: Define density as

$$\mathcal{D}(\xi) = \mathbb{P}(d(\xi, x_0) < r),$$

Pointwise ergodic theorems  $\implies$ 

 $D(\xi) =$  classical density of  $\Lambda$  for each generic point  $\Lambda$  of  $\xi$ .

if  $\xi$  ergodic.





Bowen and Radin:

Finding maximal sphere packing density  $\iff$  finding maximal packing density of ergodic random sphere packinga.

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## Density of random sphere packings



#### Density formula (W.)

 $D(\xi) = m_{G/K}(B(eK, r))i(\xi).$ 

Hence estimating the maximal intensity of point processes in G/K is a worthwhile goal.



#### **Cohn-Elkies argument**

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## **Cohn-Elkies estimate**

Cohn and Elkies obtained the following bounds for the density of sphere packings in  $\mathbb{R}^n$ :

for nice *f* such that  $\hat{f} \ge 0$ ,  $\hat{f}$  and  $f(x) \le 0$  for ||x|| > r.

 $\rightsquigarrow$  Reinterpret their proof in the language of point processes and generalize to get bounds for *i*( $\xi$ ).



 $C\frac{f(0)}{\widehat{f}(0)}$ 

 $\Gamma \leq \mathbb{R}^n$  lattice.

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$$\sum_{x \in \Gamma} f(x) = \frac{1}{|\Gamma|} \sum_{t \in \Gamma^*} \widehat{f}(t),$$
$$\sum_{x \in \Gamma} f(x) \le f(0) \quad \text{and} \quad \frac{1}{|\Gamma|} \sum_{t \in \Gamma^*} \widehat{f}(t) \ge \frac{1}{|\Gamma|} \widehat{f}(0),$$
$$\implies f(0) \ge \frac{1}{|\Gamma|} \widehat{f}(0)$$

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Conjecture by Cohn and Zhao: This bound holds in hyperbolic space.

Cohn, Lurie and Sarnak: Bound valid for periodic packings.

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#### Argument for point processes

$$\lim_{R\to\infty}\frac{1}{m_{G/K}(B(eK,R))}\sum_{x\in\Lambda\cap B(eK,R)}\sum_{y\in\Lambda)}f(x^{-1}y)=i(\xi)^2\widehat{f}(\omega_0)+(\widehat{\eta}_{\xi}(\widehat{f})-i(\xi)^2\widehat{f}(\omega_0))$$

$$i(\xi)^2 \widehat{f}(\omega_0) + (\widehat{\eta}_{\xi}(\widehat{f}) - i(\xi)^2 \widehat{f}(\omega_0)) \ge i(\xi)^2 \widehat{f}(\omega_0)$$

$$\lim_{R \to \infty} \frac{1}{m_{G/K}(B(eK,R))} \sum_{x \in \Lambda \cap B(eK,R)} \sum_{y \in \Lambda} f(x^{-1}y) \leq \lim_{R \to \infty} \frac{1}{m_{G/K}(B(eK,R))} \sum_{x \in \Lambda \cap B(eK,R)} f(KeK) = i(\xi)f(KeK)$$

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#### Bound for point processes

$$i(\xi) \leq rac{f(\textit{KeK})}{\widehat{f}(\omega_0)}$$

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